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| APPLICATION NO.                                                                    | FILING DATE   | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO.                      |
|------------------------------------------------------------------------------------|---------------|-------------------------|---------------------|---------------------------------------|
| 09/916,781                                                                         | 07/27/2001    | Richard J. Roll         | 2125.002USU         | 8798                                  |
| 759                                                                                | 90 04/16/2003 |                         |                     |                                       |
| Charles N. J. Ruggiero, Esq. Ohlandt, Greeley, Ruggiero & Perle, L.L.P. 10th Floor |               |                         | EXAMINER            |                                       |
|                                                                                    |               |                         | ZEENDER, FLORIAN M  |                                       |
| One Landmark S<br>Stamford, CT O                                                   |               |                         | ART UNIT            | PAPER NUMBER                          |
| 5                                                                                  |               |                         | 3627                | · · · · · · · · · · · · · · · · · · · |
|                                                                                    |               | DATE MAILED: 04/16/2003 |                     |                                       |

Please find below and/or attached an Office communication concerning this application or proceeding.

| <u> </u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| <u>.</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Application No.                                                                                                                                                   | Applicant(s)                                                                                                                     |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 09/916,781                                                                                                                                                        | ROLL ET AL.                                                                                                                      |  |  |  |  |
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Examiner                                                                                                                                                          | Art Unit                                                                                                                         |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | F. Ryan Zeender                                                                                                                                                   | 3627                                                                                                                             |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | ears on the cover sheet with                                                                                                                                      | the correspondence address                                                                                                       |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status | 36(a). In no event, however, may a reply within the statutory minimum of thirty (3 iill apply and will expire SIX (6) MONTH: cause the application to become ABAN | y be timely filed  10) days will be considered timely.  S from the mailing date of this communication.  DONED (35 U.S.C. § 133). |  |  |  |  |
| 1) Responsive to communication(s) filed on <u>27 J</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | uly 2001 .                                                                                                                                                        |                                                                                                                                  |  |  |  |  |
| _                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | is action is non-final.                                                                                                                                           |                                                                                                                                  |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| Disposition of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                   | ,                                                                                                                                |  |  |  |  |
| 4) Claim(s) 1-29 is/are pending in the application                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 5) Claim(s) is/are allowed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 6) Claim(s) is/are rejected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 6) Claim(s) is/are rejected.                                                                                                                                      |                                                                                                                                  |  |  |  |  |
| 7) Claim(s) is/are objected to.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Claim(s) is/are objected to.                                                                                                                                      |                                                                                                                                  |  |  |  |  |
| 8) Claim(s) 1-29 are subject to restriction and/or e                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | election requirement.                                                                                                                                             |                                                                                                                                  |  |  |  |  |
| Application Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 9) The specification is objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 1. Certified copies of the priority documents have been received.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 2. Certified copies of the priority documents                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 2. Certified copies of the priority documents have been received in Application No                                                                                |                                                                                                                                  |  |  |  |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| <ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| Attachment(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 5) Notice of Info                                                                                                                                                 | nmary (PTO-413) Paper No(s) rmal Patent Application (PTO-152)                                                                    |  |  |  |  |
| S. Patent and Trademark Office                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                   |                                                                                                                                  |  |  |  |  |

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## **DETAILED ACTION**

## Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 1-15, drawn to a method of providing a comparative listing of providers of an item, classified in class 705, subclass 20.
- II. Claims 16-29, drawn to a system for providing a comparative listing of providers of an item over a communication link by a host provider, classified in class 709, subclass 200+.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, at least a portion of the process as claimed can be practiced by hand; specifically, the step of "requesting a term of offer related to said item" does not have to include a "device".

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

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A telephone call was made to Mr. Paul Greeley on 4/10/03 to request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to F. Ryan Zeender whose telephone number is (703) 308-8351. The examiner can normally be reached on Monday-Friday, 8am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Olszewski can be reached on (703) 308-5183. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

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F.Z - 4/15/03 F. Zeender

Primary Examiner, A.U. 3627 April 15, 2003